



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Pre Patent Application of

HANAI et al

Atty. Ref.: 249-255

Serial No. 10/089,500

Group: 1642

Filed: March 29, 2002

Examiner: BLANCHARD, David J.

For: COMPLEMENTARY DETERMINING REGION-GRAFTED
ANTIBODY AGAINST GANGLIOSIDE GD3 AND
DERIVATIVE OF ANTIBODY AGAINST GANGLIOSIDE
GD3

* * * * *

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

July 13, 2005

Sir:

INFORMATION DISCLOSURE STATEMENT

- ☐ 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action on the merits]
N/C
- ☐ 2.(a) **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance (requires Rule 97(e)
Statement or Rule 17(p) fee)]
N/C
- ☒ 2.(b) **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action or Allowance (requires Rule 97(e)
Statement or Rule 17(p) fee)]
\$180.00
- ☐ 3. **Pursuant to 37 CFR 1.97(d)**
[after Final Office Action or Allowance (requires Rule 97(e)
Statement and Rule 17(p) fee), but before final fee payment]
\$180.00

07/14/2005 SZEWDIE1 00000071 10089500

02 FC:1806

180.00 0P

The following are submitted in the above-identified application in compliance with
37 C.F.R. §§ 1.97 and 1.98:

- ☒ 4. A list of documents on Form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document (such as a Search Report) is enclosed herewith.

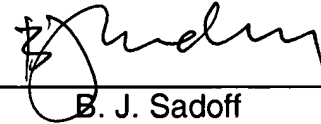
This paper is submitted in accordance with:

- ☐ 5. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 6. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ a) The required Statement made in item 8 below; or
- ☒ b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 9 below.
- ☐ 7. 37 CFR §1.97(d): [after Final Office Action or Allowance (requires Rule 97(e) Statement and Rule 17(p) fee), but before final fee payment]; and
- ☐ a) The fee (\$180.00) required by 37 CFR §1.17(p) is submitted herewith; and
- ☐ b) The required Statement is stated in item 8 below.
- ☐ 8. Statement under 37 CFR 1.97(e)
- ☐ a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
- ☐ b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- ☒ 9. Please charge all deficiency fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

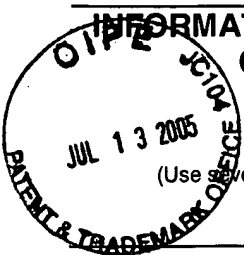
Respectfully submitted,
NIXON & VANDERHYE P.C.

By: _____



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**INFORMATION DISCLOSURE
CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

249-255

APPLICANT

HANAI et al

FILING DATE

March 29, 2002

SERIAL NO.

10/089,500

GROUP

1642

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,730,981	03/1998	Bosslet et al.			
	5,091,178	02/1992	Hellstrom et al.			

FOREIGN PATENT DOCUMENTS

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
0 280 209 A2	08/1988	Europe			
0 493 686 A1	07/1992	Europe			

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

	Nakamura et al, CANCER Supplement, 1997, Vol. 80. No. 12, pp 2650-2655
	Presentini et al, Journal of Immunoassay, 1995, Vol. 16, No. 3, pp 309-324
	Supplementary Partial European Search Report dated May 10, 2005 issued in connection with EP 00 96 2981.7
	Scott et al, Current Opinion in Immunology, 1997. Vol. 9, No. 5, pp 717-722
	Shitara et al, Cancer Immunology Immunotherapy, 1993, Vol. 36, No. 6, pp 373-380
	Ojima et al, Tohoku J. Exp. Med., 1998, Vol. 185, No. 2, pp 89-100

*Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)